

*Filed*

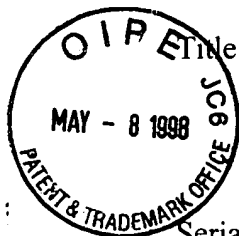
*off*

*Sector # 3*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Andrew S. VAN LUCHENE )

Art Unit: 3642



Title: METHOD AND APPARATUS FOR )  
PROCESSING A SUPPLEMENTARY )  
PRODUCT SALE AT A POINT-OF-SALE )  
TERMINAL )

Examiner: Not Yet Assigned

Serial No.: 09/045,518 )

Filing Date: March 20, 1998 )

Docket No.: WD2-97-561

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER


Applicants hereby submit the following documents for the above-identified patent application:

1. Copy of Notice to File Missing Parts;
2. Response to Notice to File Missing Parts;
3. Combined Declaration and Power of Attorney;
4. Verified Statement Claiming Small Entity Status; and
5. Postcard.

Respectfully submitted,

May 6, 1998


Date

  
Dean Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905

**Certificate of Mailing**

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on May 6, 1998.

Charles A. Rattner  
Typed Name of Person Making  
Deposit

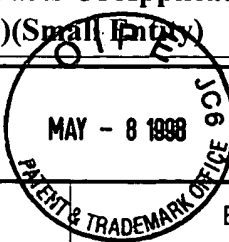
  
Signature  
(PTO Reg. No. 40,136)

5/6/98  
Date

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
WD2-97-561

In Re Application Of: Andrew S. VAN LUCHENE



Serial No.  
09/045,518

Filing Date  
March 20, 1998

Examiner  
Not Yet Assigned

Group Art Unit  
3642

Invention: **METHOD AND APPARATUS FOR PROCESSING A SUPPLEMENTARY PRODUCT  
SALE AT A POINT-OF-SALE TERMINAL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
April 29, 1998  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ A new original oath or declaration together with a statement that the "attached" specification is a copy of the specification and any amendments thereto that were filed in the United States Patent and Trademark Office to obtain the filing date.
- ☐ The original declaration or oath for this application together with a statement by a registered patent attorney or agent that the application filed in the United States Patent and Trademark Office is the application that the inventor executed by signing the declaration or oath.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
  - ☒ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
WD2-97-561

In Re Application Of: **Andrew S. VAN LUCHENE**

Serial No.  
09/045,518

Filing Date  
March 20, 1998

Examiner  
Not Yet Assigned

Group Art Unit  
3642

Invention:

**SALE AT A POINT-OF-SALE TERMINAL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$395.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 8 \$205.00

☒ Total number of claims = 24 \$44.00

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the invention \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$709.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months

from: \_\_\_\_\_  
Date

until: \_\_\_\_\_  
Date

Total time extension fees \_\_\_\_\_

Total fees due \$709.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
WD2-97-561

In Re Application Of: Andrew S. VAN LUCHENE

Serial No.  
09/045,518

Filing Date  
March 20, 1998

Examiner  
Not Yet Assigned

Group Art Unit  
3642

Invention: **METHOD AND APPARATUS FOR PROCESSING A SUPPLEMENTARY PRODUCT  
SALE AT A POINT-OF-SALE TERMINAL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$709 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0271  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0271  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: May 6, 1998

Dean Alderucci  
Attorney for Applicant  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905-1326  
(203) 705-3006  
(203) 595-8266 (fax)

I certify that this document and fee is being deposited on May 6, 1998 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

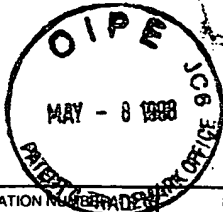


Signature of Person Mailing Correspondence

Charles A. Rattner (PTO Reg. No. 40,136)

Typed or Printed Name of Person Mailing Correspondence

cc:



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/045,518	04/20/98	VAN LUCHENE	A WD2-97-561

0222/0429

WALKER DIGITAL CORPORATION  
FIVE HIGH RIDGE PARK  
STAMFORD CT 06905-1326

NOT ASSIGNED

0642

DATE MAILED:

04/29/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ \_\_\_\_\_.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 796 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 497, including any multiple dependent claim fees, are required.

\$ 412 for 5 independent claims over 3.  
\$ 87 for 4 dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

5/1998 WILLARI 00000001, 5002715, 5518

C:201 395.00 CH  
C:202 205.00 CH  
C:203 44.00 CH  
C:205 65.00 CH